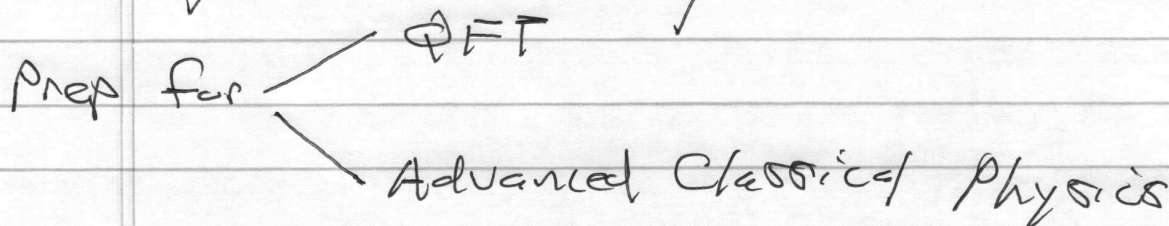


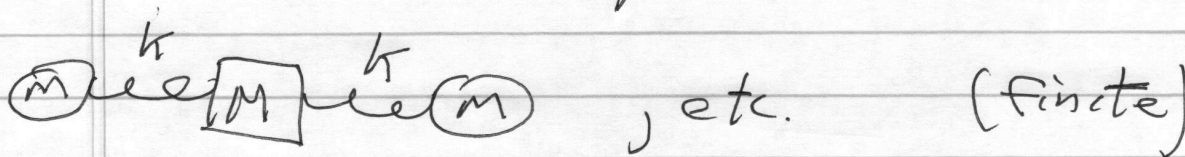
# Oscillations and Continuum

⇒ Goal is to build up Hamiltonian Theory of Continuum / Classical Fields

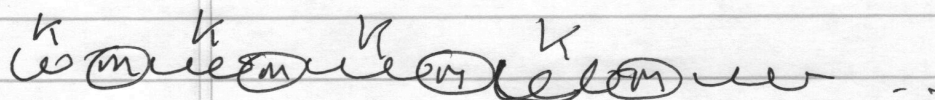


Topics:

→ Small Oscillations / coupled Oscillators



→ Chains, Continuum Limit



⇒

→ Continuum Dynamics, Waves

includes adiabatic invariants for continuum.

and

→ Intro to Elasticity - non-trivial application

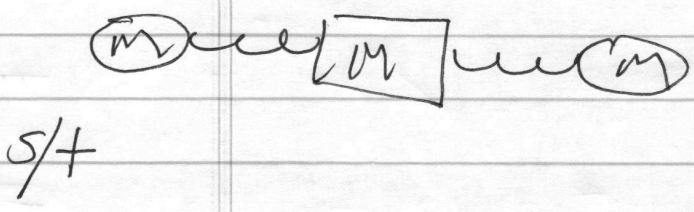
Point:

- normal modes, eigenfrequencies
- energy-momentum theorems
- symmetry (including phase)

$\left\{ \begin{array}{l} 4/L \rightarrow 23, 24 \\ FW \rightarrow 4 \end{array} \right.$

c.) Small Oscillations

- Consider coupled d.o.f.s / i.e. g.c.  
 $q_1, q_2, \dots, q_N$



What are collective resonance modes of system?

$$U = U(q_1, q_2, \dots, q_N)$$

then expand near equilibrium:

$$U = U_0 + \sum_j (q_j - q_{j0}) \frac{\partial U}{\partial q_j} \Big|_{q_{j0}}$$

$$+ \frac{1}{2} \sum_{j,k} (q_j - q_{j0})(q_k - q_{k0}) \frac{\partial^2 U}{\partial q_j \partial q_k} \Big|_{q_{j0}, q_{k0}}$$

So for the minimum:

$$\frac{\partial U}{\partial q_j} = 0, \quad \det \left| \frac{\partial^2 U}{\partial q_j \partial q_i} \right| > 0$$

then:

$$U = U_0 + \frac{1}{2} \sum_{j,k} (q_j - q_{j0}) (q_k - q_{k0}) \frac{\partial^2 U}{\partial q_j \partial q_k}$$

$\downarrow$  irrelevant  $\quad \downarrow$   $x_j$   $\quad \downarrow$   $x_k$   $\quad \downarrow$   $k_{j,k}$

$$= U_0 + \frac{1}{2} \sum_{j,k} x_j x_k k_{j,k}$$

$\downarrow$   
 stiffness matrix  
 (generally not diagonal)

Similarly,

$$T = \frac{1}{2} \sum_{j,k} m_{j,k} \dot{x}_j \dot{x}_k$$

$\downarrow$   
kinetic energy

$\downarrow$   
 mass matrix - generally not diagonal  
 (det  $m_{j,k} > 0$ )

∞

$$L = \frac{1}{2} \sum_{j,k} m_{j,k} \dot{x}_j \dot{x}_k = \frac{1}{2} \sum_{j,k} k_{j,k} x_j x_k$$

$$\Rightarrow \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}_j} \right) - \frac{\partial L}{\partial x_j} = 0$$

$$\frac{d}{dt} \left( \sum_k m_{j,k} \dot{x}_k \right) + \sum_k k_{j,k} x_k = 0$$

$$\therefore \boxed{\sum_k (m_{j,k} \ddot{x}_k + k_{j,k} x_k) = 0}$$

Matrix LEOMS - systems

So, extracting overall mass factor:

$$\sum_n \left( \lambda_{j,n} \ddot{x}_n + \left( \frac{k_n}{m} \right)_{j,n} x_n \right) = 0 \quad [ \quad ]$$

↳ system of linear ODEs = 0

note:  $k_{j,n} = k_{n,j}$  (order doesn't matter)  
 $\lambda_{j,n} = \lambda_{n,j}$

Now,  $x_n = A_n e^{-i\omega t}$  (vector)

so normalized mass matrix

$$\sum_n \left( -\omega^2 \lambda_{j,n} + \omega_{j,n}^2 \right) A_n = 0$$

system of linear eqns.

frequency matrix

⇒ Homogeneous

$$\det \left[ -\omega^2 \lambda_{j,n} + \omega_{j,n}^2 \right] = 0$$

$$\Rightarrow \det | \omega_{j,n}^2 - \chi_{j,n} \omega^2 | = 0$$

$\Rightarrow$  specifies eigenfrequencies /  
- collective mode frequencies

$\Rightarrow$  ratio of amplitudes  $\rightarrow$  eigenvectors

$\Rightarrow$  the solution:

-  $n$  eigenfrequencies  $(\omega_j^2)$

$\alpha = 1, \dots, n$

-  $n$  eigenvectors  $a_j^\alpha$

n.b. degeneracy possible!

so, can write for  $x_j$

$$x_j = \sum_{\alpha} a_j^{\alpha} e^{-i\omega_j^{\alpha} t}$$

$j \rightarrow$  element  
(e.g. c. j)

$\alpha \rightarrow$  eigenvector  
label

$$A_j^{\alpha} = c_{\alpha} a_j^{\alpha}$$

amplitude  $c_{\alpha}$  coeff eigenvector

Now, eigenvectors form orthonormal  
basis

Consider 2 eigenmodes  $\omega_s^2, \omega_r^2$  :  
(mode label  $\leftrightarrow$  subscript)

$$\omega_s^2 \sum_k \lambda_{jk} a_k^s = \sum_k \omega_{jk}^2 a_k^s \quad (1)$$

and

$$\omega_r^2 \sum_j \lambda_{jk} a_j^r = \sum_j \omega_{kj}^2 a_j^r \quad (2)$$

so

$$\sum_j a_j^r \times (1) - \sum_k a_k^s \times (2) =$$

$$= \sum_{j,k} \left\{ \omega_s^2 \lambda_{jok} a_j^r a_k^s - \omega_r^2 \lambda_{jk} a_j^r a_k^s \right.$$

$$\left. - \sum_{j,k} \lambda_{jok} \omega_{jok}^2 (a_k^s a_j^r - a_j^r a_k^s) \right.$$

$$\left( \omega_{jok}^2 = \omega_{kjo}^2 \right)$$

so

⇒

$$(\omega_s^2 - \omega_r^2) \sum_{j,k} a_j^r a_k^s \lambda_{j,k} = 0$$

or

$$\omega_s^2 \neq \omega_r^2 \Rightarrow \left\{ \begin{array}{l} \sum_{j,k} \lambda_{j,k} a_j^r a_k^s = 0 \\ \text{orthonormality of} \\ \text{eigenvectors} \end{array} \right.$$

normalization ⇒

$$\sum_j \lambda_{j,j} a_j^2 = 1$$

so have general orthonormality condition

$$\boxed{\sum_{j,k} \lambda_{j,k} a_k^s a_j^r = \delta_{r,s}} \quad (*)$$

Can express general oscillation in terms eigenvectors and time dependent amplitudes, i.e.



$$x_j = \sum_{\alpha} a_j^{\alpha} \eta_{\alpha}(t)$$

$c_{\alpha} e^{-i\omega_{\alpha} t} \rightarrow$  time evolution, amplitude & phase

orthogonality  $\Rightarrow$

$$L = \sum_{\alpha} (\dot{\eta}_{\alpha}^2 - \omega_{\alpha}^2 \eta_{\alpha}^2) / 2$$

L decomposition

$$\ddot{\eta}_{\alpha} + \omega_{\alpha}^2 \eta_{\alpha} = 0 \quad \alpha = 1, \dots, n$$

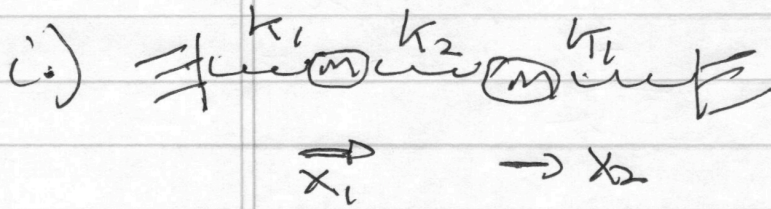
$\eta$ 's  $\rightarrow$  normal coords

Note: if  $\det |\omega_{ij}^2 - \lambda_{j,k} \omega^2| = 0$

has double root i.e.  $\omega_{\alpha}^2 = \omega_{\beta}^2$

$\Rightarrow$  degeneracy!  $\Rightarrow$   $\left\{ \begin{array}{l} \text{must arbitrarily} \\ \text{introduce some} \\ \text{condition to det.} \\ \text{orthog eigenvectors} \end{array} \right.$   
 $\Rightarrow$  choice not unique

## Some Examples



Coordinates:  $x_1, x_2$

$$L = \frac{1}{2} m (\dot{x}_1^2 + \dot{x}_2^2) - \left[ \frac{1}{2} k_1 x_1^2 + \frac{1}{2} k_2 (x_2 - x_1)^2 + \frac{1}{2} k_1 x_2^2 \right]$$

so

$$m \ddot{x}_1 = -k_1 x_1 + k_2 (x_2 - x_1)$$

$$m \ddot{x}_2 = -k_1 x_2 - k_2 (x_2 - x_1)$$

so

$$\ddot{x}_1 + \frac{k_1}{m} x_1 + \frac{k_2}{m} (x_1 - x_2) = 0$$

$$\ddot{x}_2 + \frac{k_1}{m} x_2 - \frac{k_2}{m} (x_1 - x_2) = 0$$

on

$$\omega_0^2 = (k_1 + k_2) / m$$

$$\ddot{x}_1 + \omega_0^2 x_1 - \frac{k_2}{m} x_2 = 0$$

$$\ddot{x}_2 + \omega_0^2 x_2 - k_2/m x_1 = 0$$

$$x_1 = A e^{-i\omega t}$$

$$x_2 = B e^{-i\omega t}$$

$$(\omega^2 - \omega_0^2) A - (k_2/m) B = 0$$

$$-(k_2/m) A + (\omega^2 - \omega_0^2) B = 0$$

$$(\omega^2 - \omega_0^2)^2 - (k_2/m)^2 = 0$$

$$\Rightarrow \omega^2 = \omega_0^2 \pm k_2/m$$

(eigenfrequenzen)

$$\omega^2 = k_1/m$$

$$\omega^2 = k_1/m + 2k_2/m$$

A, B ⇒ eigenvectors

$$\omega^2 = \omega_0^2 - k_2/m = k_1/m$$

$$+ k_2/m A - k_2/m B = 0$$

$$-k_2/m A + k_2/m B = 0$$

so

$$A = B, \quad a_1 = \begin{pmatrix} 1 \\ 1 \end{pmatrix} / \sqrt{2}$$

↓  
normalization

$$\vec{\omega} = \omega \vec{0} + k_2/m$$

$$-k_2/m A - (k_2/m) B = 0$$

$$-k_2/m A - k_2/m B = 0$$

$$A = -B, \quad a_2 = \begin{pmatrix} 1 \\ -1 \end{pmatrix} / \sqrt{2}$$

so

$$\underline{x} = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \frac{C_1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix} e^{\underset{\text{high freq} \Rightarrow \text{anti-symmetric}}{-i\omega_+ t}}$$

$$+ \frac{C_2}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} e^{\underset{\text{low freq} \Rightarrow \text{symmetric}}{-i\omega_- t}}$$

Of course, could:

diffnt  
notation

$$\ddot{x}_1 + \omega_0^2 x_1 - (k_2/m) x_2 = 0$$

$$\ddot{x}_2 + \omega_0^2 x_2 - (k_2/m) x_1 = 0$$

- add  $x_+ = x_1 + x_2$

$$\ddot{x}_+ + \omega_0^2 x_+ - k_2/m x_+ = 0$$

$$x_+ = x_1 + x_2$$

$$\omega_+^2 = k/m$$

$$\ddot{x}_+ + (k_1/m) x_+ = 0$$

$$x_+ = x_+$$

- subtract

$$\ddot{x}_- + \omega_0^2 x_- + (k_2/m) x_- = 0$$

$$x_- = x_1 - x_2$$

$$x_- = x_1 - x_2$$

$$\omega_-^2 = (k + 2k_2)/m$$

↳ split frequencies

14.

$$i) \quad V = -\alpha xy$$

interaction of  
2 oscillators

$$L = \frac{m}{2} (\dot{x}^2 + \dot{y}^2) - \frac{1}{2} k (x^2 + y^2) + \alpha xy$$

$$m\ddot{x} + kx - \alpha y = 0$$

$$m\ddot{y} + ky - \alpha x = 0$$

2

$$\ddot{x} + \omega_0^2 x - \alpha/m y = 0$$

$$\ddot{y} + \omega_0^2 y - \alpha/m x = 0$$

2

$$M_{\pm} = x \pm y$$

$$\ddot{M}_{+} + \omega_0^2 M_{+} - \alpha/m M_{+} = 0$$

$$\ddot{M}_{-} + \omega_0^2 M_{-} + \alpha/m M_{-} = 0$$

$$\omega_{+}^2 \equiv \omega_0^2 - \alpha/m$$

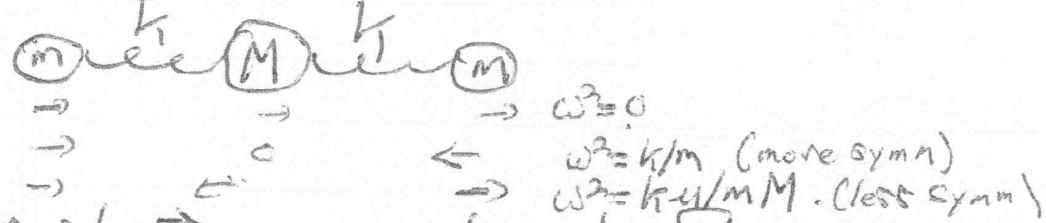
$$\omega^2 = (k - \alpha)/m$$

symm  $\rightarrow$  low freq.

$$\omega_{-} = \omega_0^2 + \alpha/m = (k + \alpha)/m \rightarrow \text{anti-symm} \rightarrow \text{high } \omega$$

symmetry  $\Leftrightarrow$  zero frequency mode  
 (why  $\Rightarrow$  displace with no change in energy)

(iii)



Key point  $\Rightarrow$  no external forces, so CM constant  $\Rightarrow$  1 degree symmetry.

$\Rightarrow$   $m x_1 + M x_2 + m x_3 = \text{const} = 0$

$\Rightarrow$  reduce  $3 \times 3 \Rightarrow 2 \times 2$

$$L = \frac{1}{2} (m \dot{x}_1^2 + M \dot{x}_2^2 + m \dot{x}_3^2) - \frac{1}{2} k (x_2 - x_1)^2 - \frac{1}{2} k (x_3 - x_2)^2$$

but  $x_2 = -\frac{m}{M} (x_1 + x_3)$

$$\Rightarrow L = \frac{1}{2} \left[ m (\dot{x}_1^2 + \dot{x}_3^2) + M \frac{m^2}{M^2} (\dot{x}_1 + \dot{x}_3)^2 \right] - \frac{1}{2} k \left( -\frac{m}{M} (x_1 + x_3) - x_1 \right)^2 - \frac{1}{2} k \left( x_3 + \frac{m}{M} (x_1 + x_3) \right)^2$$

etc.

$\circledast$  Guessing the modes  $\Leftrightarrow$  symmetry :

$\omega^2 = 0$ ; translation mode  $1/\mu = \frac{1}{m} + \frac{1}{m} + \frac{1}{M}$

$\omega^2 = k/m$ ; symmetric mode  $\rightarrow \eta = x_1 - x_3$

$\omega^2 = k(1+m/M)$ ; anti-symmetric mode  $\rightarrow \eta = x_1 + x_3$



→ Aside: Example from Continuum  
Translation and Zero Frequency Modes

Consider:

$$L = \int_{x_1}^{x_2} dx \mathcal{L}$$

$$\mathcal{L} = \frac{(\partial_t F)^2}{2} - \frac{(\partial_x F)^2}{2} - U(F)$$

i.e.  $U=0 \rightarrow$  wave equation

$U = F^2/2 \rightarrow$  Klein-Gordon

etc.

$U = \alpha F^2/2 + \frac{\beta F^4}{4} \rightarrow \phi^4$  model 1D.  
(can relate magnetism).

∞ for NL string:

$$L E M \Rightarrow \partial_t^2 F - \partial_x^2 F + \frac{\partial U}{\partial F} = 0$$

For static, eqbm solution:

$$\partial_t^2 F_0 = 0$$





$$\Rightarrow \partial_x^2 f_0 = \frac{\partial U}{\partial f_0} = 0$$

Now, for fluctuations about:

$$f = f_0 + \tilde{f}$$

$$\tilde{f} = \hat{f} e^{-i\omega t}$$

$$\hat{f} = \hat{f}(x)$$

$\Rightarrow$  plug into EOM and linearize:

$$-\omega^2 \tilde{f} - \partial_x^2 \tilde{f} + \frac{\partial U}{\partial f} (f_0 + \tilde{f}) = 0$$

$$\Rightarrow -\omega^2 \hat{f} - \partial_x^2 \hat{f} + \frac{\partial^2 U}{\partial f^2} \hat{f} = 0$$

$$\underline{\text{d.e.}} \quad -\partial_x^2 \hat{f} + \left( \frac{\partial^2 U}{\partial f^2} \right) \hat{f} = \omega^2 \hat{f}$$

eigenmode eqn.

$$\text{note } \omega^2 = 0 \Rightarrow -\partial_x^2 \hat{f} + \left( \frac{\partial^2 U}{\partial f^2} \right) \hat{f} = 0$$

but can also observe:

$$-\alpha x^3 f_0 + \frac{\partial U}{\partial f_0} = 0$$



⇒ a solution  $f(x)$ .

Now can translate that solution arbitrarily, as have translation symmetry in  $x$

i.e.  $f_0(x) \rightarrow f_0(x + dx_0)$  must be solution  
infinitesimal centroid shift

$$-\alpha x^3 (f_0(x + dx_0)) + \frac{\partial U}{\partial f} (f_0(x + dx_0)) = 0$$

expand in  $dx_0$ :

$$dx_0 \left( -\alpha x^3 f_0 + dx_0 \frac{\partial^2 U}{\partial f^2} \right) = 0$$

i.e.  $\frac{d}{dx} \left( -\alpha x^3 f_0 + \frac{\partial U}{\partial f_0} \right) = 0$ .

~~XXXXXXXXXX~~

so

$$-\partial_x^2 (\partial_x f_0) + \frac{\partial^2 U}{\partial f^2} \Big|_{f_0} (\partial_x f_0) = 0$$

⇨ but eigenmode  $\omega^2$  is:

$$-\partial_x^2 \hat{f} + \frac{\partial^2 U}{\partial f^2} \Big|_{f_0} \hat{f} = \omega^2 \hat{f}$$

⇒

$\omega^2 = 0$  is eigenmode with eigenfunction  $\partial_x f_0$

→ translation mode, due translation symmetry of  $\mathcal{L}$ .

→ obviously generalizable.

iii) Triatomic Molecule  $\rightarrow$  2D

$3 \times 2 = 6$  modes

a) Linear



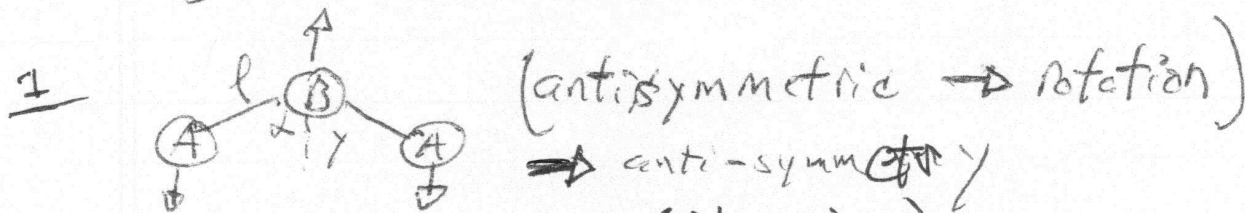
harmonic binding  
 $1D \rightarrow$  as previous example.

modes: 1)  $\omega^2 = 0$   $\rightarrow$  translation  $\vec{x}_i$   
3 symm.  $\rightarrow$  rotation with  $m_B$  fixed

$\Rightarrow$  3 invariant transformations  
 $\Rightarrow$  3 zero frequency modes

(vibration) 2) linear; symmetric  $\omega^2 = k/m$ ;  $(x_1, x_3)$   
2 antisymmetric  $(x_1 + x_3)$ ;  $k/4/m_B$

(rotation) 3) bending  $\rightarrow$  symmetric in x



(antisymmetric  $\rightarrow$  rotation)  
 $\Rightarrow$  anti-symmetry

Proceeding as before: (cm civ.)

$$m_A y_1 + m_B y_2 + m_A y_3 = 0$$

and  
 $y_1 = y_3$   
 (symmetry)

$$T = \frac{1}{2} m_A (\dot{y}_1^2 + \dot{y}_3^2) + \frac{m_B}{2} \dot{y}_2^2$$

$\rightarrow$  can eliminate in terms  $y_1, y_3$

bend of molecule

$$U = \frac{1}{2} k (\delta L)^2 ; \quad \delta L = l_1 \cos \alpha_1 + l_2 \cos \alpha_2$$

$$l_1 = l_2$$

small  
osc

$$= l \left[ \frac{(y_1 - y_2)}{l} + \frac{(y_3 - y_2)}{l} \right]$$

$$\Rightarrow L = \frac{1}{2} m_A (\dot{y}_1^2 + \dot{y}_3^2) + \frac{1}{2} m_B \dot{y}_2^2 - \frac{1}{2} k [(y_1 - y_2) + (y_3 - y_2)]^2$$

subst for  $y_2$ 

$$= \frac{m_A m_B}{4M} l^2 \dot{\sigma}^2 - \frac{1}{2} k l^2 \sigma^2$$

$$\sigma = (y_1 + y_3 - 2y_2) / l$$

|||

$$\omega^2 = 2kM / m_A m_B$$